The following is an English translation of the original Japanese version. If there is any inconsistency between the two versions, the Japanese version shall prevail.

November 5, 2026
SPARX Asset Management Co., Ltd.

# Results of Exercising Our Voting Rights at General Shareholders' Meetings from July 2026 to September 2026

SPARX Asset Management had the following results after exercising our voting rights at general shareholders' meetings held from July through September 2026. Please see page 2 and onward for details of the results of exercising our voting rights at individual meetings.

1. Number of votes cast for, against, abstention, and unconditional authority on proposals submitted by the company (*1 2 3)

| | | For | Against | Abstention | Unconditional Authority | Total |
|---|---|---|---|---|---|---|
| Proposals relating to corporate organization | Election and dismissal of directors | 32 | 1 | 0 | 0 | 33 |
| | Election and dismissal of auditors | 8 | 0 | 0 | 0 | 8 |
| | Election and dismissal of independent auditors | 0 | 0 | 0 | 0 | 0 |
| Proposals relating to executive compensation | Executive compensation (*1) | 12 | 0 | 0 | 0 | 12 |
| | Payment of resignation bonuses to resigning/retiring executives | 1 | 0 | 0 | 0 | 1 |
| Proposals relating to capital strategy (Excluding proposals relating to Articles of Incorporation) | Appropriation of surplus capital | 14 | 0 | 0 | 0 | 14 |
| | Restructuring-related matters (*2) | 0 | 0 | 0 | 0 | 0 |
| | Introducing, updating, or abolishing anti-takeover measures | 0 | 0 | 0 | 0 | 0 |
| | Other proposals relating to capital strategy (*3) | 2 | 0 | 0 | 0 | 2 |
| Proposals relating to Articles of Incorporation | | 2 | 0 | 0 | 0 | 2 |
| Total of all other proposals | | 0 | 0 | 0 | 0 | 0 |
| **Total** | | **71** | **1** | **0** | **0** | **72** |

*1 Revisions to executive compensation, issuing stock options, introducing or revising performance-based compensation systems, or granting executive bonuses.

*2 Mergers, transfers, and purchases of businesses, share exchanges, share transfers, and corporate divestitures.

*3 Buying back treasury stock, reducing legal reserves, third-party allocation of shares, capital reductions, reverse stock splits, and issuance of class shares.

2. Number of votes in for, against, abstention and unconditional authority on proposals

| | For | Against | Abstention | Unconditional Authority | Total |
|---|---|---|---|---|---|
| **Total** | 0 | 2 | 0 | 0 | **2** |

3. Overview of the Results of Exercising Our Voting Rights (Individual Disclosure *4)

## Company's proposals

| Code | Name | Meeting Type | Meeting date | Item No. | Proposal category | Vote |
|---|---|---|---|---|---|---|
| 3321 | MITACHI CO.,LTD. | Regular | 28 August 2026 | 2 | Appointment of Directors (Audit and Supervisory Committee Members) | Partially against |

## Shareholder proposal

None

*4 This disclosure of individual voting results only shows objections to corporate proposals and approvals of shareholder proposals.

Across all funds and strategies, we indicate the objections (or approvals for shareholder proposals) for each proposal to which the vote applies, even if only partially. Currently, we do not disclose the reasons for objecting to or approving proposals for the time being. Moreover, for votes relating to director elections, we disclose either partial or all objections depending on the situations. We do not disclose the individual names in a way that enable to specify the individual name of director candidates.